DT07 Rec'd PCT/PTO 28 JUN 2004

TRANSMITTAL LETTER TO THE UNITED STATES

DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 TORNEY'S DOCKET NUMBER 53174

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED 27 December 2002 27 December 2001

TITLE OF INVENTION: POLYMER DERIVATIVES FOR METAL TREATMENT

APPLICANT(S) FOR DO/EO/US Monica Fernandez GONZALEZ, Hans-Ulrich JAEGER, Peter NEUMANN, Helmut WITTELER

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. /X/ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
- 2.// This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- 3. /X/ This express request to begin national examination procedures (35 U.S.C.371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
- 4. /x / A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date
- 5. /X/ A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a./X/ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b.// has been transmitted by the International Bureau.
 - c.// is not required, as the application was filed in the United States Receiving Office (RO/US0).
- 6. /X/ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
- 7. /X / Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
 - a./x / are transmitted herewith (required only if not transmitted by the International Bureau).
 - b.// have been transmitted by the International Bureau.
 - c.// have not been made; however, the time limit for making such amendments has NOT expired.
 - d.// have not been made and will not be made.
- 8. /x / A translation of the amendments to the claims under PCT Article 19(35 U.S.C. 371(c)(3)).
- 9. // An oath or declaration of the inventor(s)(35 U.S.C. 171(c)(4)).
- 10.// A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

- 11./ x/ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12.// An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13./x / A FIRST preliminary amendment.
- // A SECOND or SUBSEQUENT preliminary amendment.
- 14.// A substitute specification.
- 15.// A change of power of attorney and/or address letter.
- 16./X/ Other items or information.
 International Search Report
 International Preliminary Examination Report

ATTORNEY'S DOCKET NO. 53174

U.S. Appln. No. (If Known) INTERNATIONAL APPLN. NO. PCT/EP02/14786

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17. /X/ The following fees are submitted BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)): Search Report has been prepared by the	CALCULATIONS	<u> </u>	PTO USE ONLY
EPO or JPO\$920.00	920.00	1	
International preliminary examination fee paid to USPTO (37 CFR 1.482)\$730.00		1	
No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2))		I	
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO\$1,080.00		ļ	
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied pro-visions of PCT Article 33(2)-(4)\$100.00		1	
ENTER APPROPRIATE BASIC FEE A	MOUNT = \$		
Surcharge of \$130.00 for furnishing the oath or declaration later than // 20 //30 months from the earliest claimed priority date (37 CFR 1.492(e)).		-	
<u>Claims</u> <u>Number Filed</u> <u>Number Extra</u>	Rate		
Total Claims 10 -20 Indep.Claims 2 -3 Multiple dependent claim(s)(if applicable)	X\$18. X\$86. +290.		
TOTAL OF ABOVE CALCULATION	=	920.00	
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).	<u></u>		
SUBTOT	AL =	920.00	
Processing fee of \$130. for furnishing the English translation later than / /20 / /30 months from the earliest claimed priority date (37 CFR 1.492(f)). +			
TOTAL NATIONAL FEE		1	
Fee for recording the enclosed assignment (37 CFR 1.21(h). The assignment must be accompanied by an appropriate of sheet (37 CFR 3.28, 3.31) \$40.00 per property =		1	
TOTAL FEES ENCLOSED	= \$	920.00	
	Amount to be refunded: \$		
	Charged \$		
a./X/ A check in the amount of \$920.00 to cover the	e above fees is encl	osed.	
b.// Please charge my Deposit Account No this sheet is enclosed.	in the amount of \$	to cover the	e above fees. A duplicate copy of
c./X/ The Commissioner is hereby authorized to charge Deposit Account No. <u>11-0345</u> . A duplicate copy of			rired, or credit any overpayment to
NOTE: Where an appropriate time limit under 37 CFR 1.49 must be filed and granted to restore the application to pendi		een met, a petition	to revive (37 CFR 1.137(a) or (b)
SEND ALL CORRESPONDENCE TO:		SIGNATURE	r jui

1350 Connecticut Ave., N.W.

Suite 1100

Herbert B. Keil, Reg. No. 18,967